Docket: 80050 (US02)

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard Kingsley Stuart, Jr., Jeffery Lynn Presley,

Kenneth Francis Grzybowski

Serial No.:

**Group Art Unit:** 

Filed:

Examiner:

For:

MODIFIED ASPHALT COMPOSITIONS

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

## UNDER 37 CFR 1.97(b)

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

An International Search Report in connection with the corresponding PCT application has been received. A copy is enclosed.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits. whichever event occurs last.

Respectfully submitted.

**Eastman Chemical Company** 

P.O. Box 511

Kingsport, Tennessee 37662

Phone:

(423) 229-6204

FAX:

(423) 229-1239

Polly C. Owen

Registration No. 44,991

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10** 

"EXPRESS MAIL " Mailing Label No.: EU472250542US

Date of Deposit: November 12, 2003

I hereby certify that this Transmittal of Information Disclosure Statement Under 37 CFR 1.97(b) and the Documents referred to as being inclosed therein ar being deposited with the United Stat's Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the dat indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. clan White

Jo Ann White

Please type a plus (+) sign in this bo	$_{x}  ightarrow \lceil$
--	--------------------------

Substitute for form 1449  INFORMATION DISCLOSURE					Att rney D cket Number	80050 (US02)
					First Named Invent r	Richard Kingsley Stuart, Jr. et al.
					COMPLETE IF KNOWN	
STATEMENT BY APPLICANT			LICANT	Application Number		
					Filing Date	
					Group Art Unit	
abla	Sheet	1	of	3	Examiner Name	

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	U.S.Patent D Number	ocument Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
		3,896,069		Kosaka et al.	07-22-1975		
		4,091,134		Uemura et al.	05-23-1978		
		4,145,298		Trepka	03-20-1979		
		4,238,202		Trepka et al.	12-09-1980		
		4,240,946		Hemersam	12-23-1980		
		4,242,246		Maldonado et al.	12-30-1980		
		4,328,147		Chang et al.	05-04-1982		
		4,330,449		Maldonado et al.	05-18-1982		
		4,382,989		Chang et al.	05-10-1983		
		4,412,019		Kraus	10-25-1983		
		4,451,598		Decroix	05-29-1984		
		4,497,921		Chang et al.	02-05-1985		
		4,659,759		Jevanoff et al.	04-21-1987		
		4,738,998		Uffner et al.	04-19-1988		
		4,839,404		Chang et al.	06-13-1989		
		4,872,930		Kajikawa et al.	10-10-1989		
		4,933,384		Wolfe	06-12-1990		
		4,978,698		Woodhams	12-18-1990		
		4,988,747		Strommer	01-29-1991		
		5,017,230		Hopkins et al.	05-21-1991		
		5,039,755		Chamberlain et al.	08-13-1991		
		5,070,123		Moran	12-03-1991		

Examiner	 Dat	
Signature	C nsidered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Please type a plus (+) sign in this box ->	+

First Named Invent r Richard Kingsley Stuart  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  Application Number  Filing Date	, Jr. et al.
STATEMENT BY APPLICANT Application Number	
1	
Filing Date	
1 · · · · · · · · · · · · · · · · · · ·	
Group Art Unit	
Sheet 2 of 3 Examiner Name	
5,095,055 Moran 03-10-1	992
5,266,615 Omeis et al. 11-30-1	993
5,280,064 Hesp et al. 01-18-1	994
5,302,638 Ho et al. 04-12-1	994
5,314,935 Chaverot et al. 05-24-1	994
5,328,943 Isobe et al. 07-12-1	994
5,380,773 Bellio et al. 01-10-1	995
5,382,612 Chaverot et al. 01-17-1	995
5,473,000 Pinomaa 12-05-1	995
5,482,982 Lakshmanan et al. 01-09-1	996
5,508,112 Planche et al. 04-16-1	996
5,549,744 Puzic et al. 08-27-1	996
5,565,510 Giavarini et al. 10-15-1	996
5,605,946 Planche et al. 02-25-1	997
5,756,565 Germanaud et al. 05-26-1	998
5,773,496 Grubba 06-30-1	998
5,795,929 Grubba 08-18-1	998
5,840,109 Braga et al. 11-24-1	998
6,069,194 Frazen et al. 05-30-2	2000
6,087,420 Planche et al. 07-11-2	2000
6,127,461 Takamura et al. 10-03-2	2000
6,284,820 B1 Braga et al. 09-04-2	2001
6,348,525 B1 Takamura et al. 02-19-2	2002
6,359,033 B1 Ait-Kadi et al. 03-19-2	2002
6,429,241 B1 Liang 08-06-2	2002

Examiner	Dat	
Signature	C nsidered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449  INFORMATION DISCLOSURE					Att rney Docket Numb	er	80050 (US02) Richard Kingsley Stuart, Jr. et al.	
					First Named Inventor			
						C	OMPLETE IF KNOWN	
STATEMENT BY APPLICANT					Application Number			
					Filing Date			
					Group Art Unit			
	3		3		Examiner Name			
	6,441	,065		B1	1 Chevillard et a	l.		08-27-2002
6,486,249			B1	1 Dituro et al.			11-26-2002	
	6,569	,925		B2	2 Baumgardner	et al.		05-27-2003
	2003/	00327	03	A1	1 Liang			02-13-2003
	2003/	01656	82	A1	1 Menting et al.			09-04-2003
	H125	0			Gilmore et al.			11-02-1993
	MAT	3 6,441 6,486 6,569 2003/	3 of 6,441,065 6,486,249 6,569,925 2003/00327	3 of 3 6,441,065 6,486,249 6,569,925 2003/0032703 2003/0165682	3 of 3  6,441,065 B 6,486,249 B 6,569,925 B 2003/0032703 A 2003/0165682 A	### First Named Inventor  ### Application Number   Filling Date	### First Named Inventor  ### Canal Composition Number   Filing Date	First Named Inventor   Richard Kingslet

FOREIGN PATENT DOCUMENTS									
Examiner	Cite		Foreign Patent Doo	cument		Date of Publication			
Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	T⁵		
		DE	3819931 (abstract)	C2	Veba Oel AG	10-25-1990			
		JP	6192579 (abstract)	А	Showa Shell Sekiyu KK	12-25-1992			
		JP	52029621 (abstract)	А	Toyo Soda KK	03-05-1977			
		JP	62079268	Α	Idemitsu Petrochem Co	10-03-1985			
	1								

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*  Cite No.¹  Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue republisher, city and/or country where published.								
		_						
		Cite Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s),						

Examiner	Date	
Signature	C nsidered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.